PTO/SB/21 (04-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/975.420 **TRANSMITTAL** Filing Date October 11, 2001 **FORM** First Named Inventor Jong-Keun Ahn Art Unit 2121 (to be used for all correspondence after initial filing) Examiner Name Shute, Douglas M. Attorney Docket Number 8836-143 (IB11007-US) 23

Total Number of Pages in This Submission

| | | ENCLOSURES (Check all that apply) | | | | | | | | |
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| Fee Attached X Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement | | Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund Convert to a Proprietary Informa Status Letter When I convert to a Proprietary Informa Status Letter Appeal Communication Chappeal Notice, Brief Other Enclosure(s) Identify below): 1. Change of Corres. 2. Return Postcard | Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter X Other Enclosure(s) (please Identify below): 1. Change of Corres. Address | | | | | | | |
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| Firm or Individual name Signature Date | Ruhan | atchford Jr., Reg. No. 53,865, F. Chau & Associates, LLC | | | | | | | | |
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| Typed or printed | name Richar | D. Ratchford Jr. | | | | | | | | |
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PATENT APPLICATION

Attorney Docket: 8836-143 (IB11007-US)

In re application of: Jong-Keun Ahn

Serial No.: 09/975,420

Filed:

October 11, 2001

For: METHOD AND DEVICE FOR PROGRAMMING NONVOLATILE SEMICONDUCTOR MEMORY

Mail Stop Amendment Commissioner for Patents P O Box 1450 Alexandria, VA 22313-1450 Group: Art Unit 2121

Examiner: Shute, Douglas M.

December 14 RECEIVED Date:

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Technology Center 2100

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has

been established by a verified statement previously submitted.

[] A verified statement to establish small entity under 37 C.F.R. § 1.9 and

1.27 is enclosed.

No additional fee is required. [X]

The fee has been calculated as shown below:

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- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0679. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0679 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,

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Richard D. Ratchford Jr

Req. No. 53,865

Attorney for Applicant

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Richard D. Ratchford Jr.